

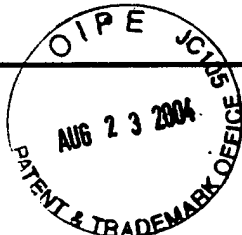
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
17937

In Re Application Of: **Shuichi Ohkubo**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/500,679	June 30, 2004	Unassigned	23389	Unassigned	Unassigned

Title: **METHOD FOR ADJUSTING RECORDING CONDITION OF OPTICAL INFORMATION, AND  
RECORDING/REPRODUCTION METHOD AND APPARATUS**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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**METHOD FOR ADJUSTING RECORDING CONDITION OF OPTICAL INFORMATION, AND  
RECORDING/REPRODUCTION METHOD AND APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature

**Paul J. Esatto, Jr.**

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Typed or Printed Name

**\*This certificate may only be used if paying by deposit account.**

Dated: **August 19, 2004**

\_\_\_\_\_  
Signature

**Paul J. Esatto, Jr.**

**Reg. No. 30,749**

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**(516) 742-4343**

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DT03 Rec'd PCT/PTO 23 AUG 2004

PCT  
[Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant :** Shuichi Ohkubo

**Examiner:** Unassigned

**Serial No.:** 10/500,679

**Art Unit:** Unassigned

**Filed:** June 30, 2004

**Docket:** 17937

**For:** METHOD FOR ADJUSTING RECORDING  
CONDITION OF OPTICAL INFORMATION,  
AND RECORDING/REPRODUCTION METHOD  
AND APPARATUS

**Dated:** August 19, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Shoji, Mamoru, et al., "Reduction of Phase Jitter in Pulse Width Modulation recording on a Phase Change Rewritable Disk", Shingaku Technical Report MR93-55, CPM93-107 (1993), pp. 13-18.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 19, 2004.

Dated: August 19, 2004

\_\_\_\_\_  
Paul J. Esatto, Jr.

Applicant is submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No.: 30,749

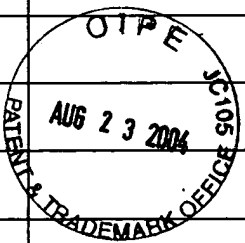
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Garden City, New York 11530  
(516) 742-4343

PJE:jam

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Docket Number (Optional) <b>17937</b>	Application Number <b>10/500,679</b>
	Applicant(s) <b>Shinichi Ohkubo</b>	
	Filing Date <b>June 30, 2004</b>	Group Art Unit <b>Unassigned</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

		Shoji, Mamoru, et al., "Reduction of Phase Jitter in Pulse Width Modulation recording on a Phase Change Rewritable Disk", Shingaku Technical Report MR93-55, CPM93-107 (1993), pp. 13-18.
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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.